

October 9, 2015

To,
Listing Department
National Stock Exchange of India Limited
Exchange Plaza,
Bandra-Kurla Complex,
Bandra- East
Mumbai- 400051

Dear Sir/Madam,


Sub.: Corporate Governance Report for the Quarter ended September 30, 2015

Please find enclosed herewith Corporate Governance Report of Khandwala Securities Limited under Clause 49 of the listing agreement for the quarter ended September 30, 2015.

Kindly acknowledge the receipt of the same and place it on your notice board for the information of your members.

Thanking you,
Yours faithfully,

For and on behalf of;
KHANDWALA SECURITIES LIMITED


Paresh Khandwala
Managing Director

Encl:- a/a



Quarterly Compliance Report on Corporate Governance

Name of the Company : Khandwala Securities Limited

Quarter ending on : 30-Sept -2015

Particulars	Clause of Listing agreement	Compliance Status Yes/No	Remarks
II. Board of Directors	49 (II)	-	-
(A) Composition of Board	49 (IIA)	Yes	-
(B) Independent Directors	49 (IIB)	Yes	-
(C) Non-executive Director's Compensation and disclosures	49 (IIC)	Yes	No compensation is paid to any Non-Executive Directors except sitting fees
(D) Other provisions as to Board and Committees	49 (IID)	Yes	-
(E) Code of Conduct	49 (IIE)	Yes	-
(F) Whistle Blower Policy	49 (IIF)	Yes	-
III. Audit Committee	49 (III)	Yes	-
(A) Qualified and Independent Audit Committee	49 (IIIA)	Yes	-
(B) Meeting of Audit Committee	49 (IIIB)	Yes	-
(C) Powers of Audit Committee	49 (IIIC)	Yes	-
(D) Role of Audit Committee	49 (IIID)	Yes	-
(E) Review of Information by Audit Committee	49 (IIIE)	Yes	-
IV. Nomination and Remuneration Committee	49 (IV)	Yes	-
V. Subsidiary Companies	49 (V)	NA	-
VI. Risk management	49 (VI)	Yes	-
VII. Related Party Transactions	49(VII)	Yes	-
VIII. Disclosures	49(VIII)	Yes	-
(A) Related party transactions	49(VIIIA)	Yes	-



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(B) Disclosure of Accounting treatment	49(VIII B)	Yes	-
(C) Remuneration of Directors	49(VIII C)	NA	There are no pecuniary relationships or transactions of Non Executive Directors vis-a-vis Company. The Company is not paying remuneration to Non Executive Directors except sitting fees.
(D) Management	49 (VIII D)	Yes	-
(E) Shareholders	49 (VIII E)	Yes	-
(F) Proceeds from public issues, rights issue, preferential issues, etc	49(VIII F)	NA	-
IX. CEO/CFO Certification	49 (IX)	Yes	-
X. Report on Corporate Governance	49 (X)	Yes	-
XI. Compliance	49 (XI)	Yes	-

For and on behalf of
KHANDWALA SECURITIES LIMITED



Paresh Khandwala
Managing Director

Date: October 9, 2015