

## SCHEDULE OF CHARGES FOR DEPOSITORY SERVICES (w.e.f. ....)

| Services  | Schemes (Please Select)   |   | Remarks   |
|---|---|---|---|
|   | Life Time AMC   | Investor  |   |
| One time Upfront payment                            | INR 2,100/-   | INR 500/-   |   |
| Account Opening                                     | Nil   |   | Stamp paper / KRA charges as applicable                                       |
| Annual Maintenance Charges                          | Free for Lifetime   | INR 500/- p.a.                                    |   |
| Sales – Through KSL                                 | INR 15/- per Transaction  | INR 15/- per Transaction                          |   |
| Purchases   | Nil   |   |   |
| Sales – Not through KSL / Off-market transfer / IDT | 0.03% of the value of transaction (Min. INR 30/-)                 | 0.03% of the value of transaction (Min. INR 30/-) |   |
| Dematerialisation                                   | INR 5/- per certificate   | INR 5/- per certificate                           | Min. INR 50/- per DRF request for postage charges plus INR 30/- per rejection |
| Rematerialisation / Repurchase                      | INR 50/- per certificate OR INR 50/- for every hundred securities |   | Per request   |
| Pledge creation / Closure / Invocation              | 0.03% of the value of transaction (Min. INR 100/-)                |   | Per transaction   |
| De-freeze   | INR 25/-  |   | Per request   |
| Stock lending and Borrowing                         | 0.02% of the value of transaction (Min. INR 100/-)                |   | Per request   |
| Charges for client master change information        | INR 25/-  |   | Per request   |

### Notes

- Power of Attorney (POA) charges Rs. 110 (Rs. 110/- stamp paper one Time only at time of account opening)
- For availing 'Easiest' facility of CDSL, the charges as levied by CDSL would be collected from clients at actual.
- In case of every Corporate Account, CDSL AMC of Rs. 500/- shall be charged extra.
- Lifetime Scheme account will be moved to default scheme with charges of Rs. 500/- AMC per year, in case sum of Rs. 2100/- or Rs. 500/- towards the scheme is not credited within 15 days of application date.
- Service tax, education cess and other statutory levies (if any) would be charged extra wherever applicable as per the prevailing rates.
- In case of cancellation / withdrawal / rejection / failure of instruction Rs. 30/- per entry will be charged additional.
- We reserve the rights to change / add charges with 30 days prior notice.
- All payments should be in favour of "Khandwala Securities Limited".
- Customer is required to maintain a minimum balance of Rs. 1000/- in his / her ledger account.
- Quarterly statements of transaction may not be sent to the clients, if there is no transaction and no securities balance in the account. Charges will not be levied in such cases.
- In accordance with the SEBI circular (Ref # SEBI Circular No. CIR/MRD/DP/22/2012 dated August 27, 2012) effective from 1<sup>st</sup> October 2012 all Sole or First Holders of a demat account having value of securities not exceeding Rupees Two Lakhs are eligible and have an option to avail Basic Services Demat Account (BSDA). As per the said SEBI circular, for availing BSDA facility, the individual shall have only one BSDA in his / her name across all depositories. For availing this facility a request letter in the prescribed format (available at Khandwala Securities Limited Mumbai Office) can be submitted with the account opening form. Also an existing individual client who is eligible to convert his demat account into BSDA can do by submitting the request letter in this regard. In case the BSDA account holder converts such account into Non-BSDA (regular account) account or the account holder ceases to meet the eligibility criteria for BSDA Account, the charges applicable to Non-BSDA (regular account) shall be levied

I / We have read and understood the "Schedule of Charges" prescribed above and agree to abide by the same.

|            | First/Sole Holder or Guardian (in case of Minor) | Second Holder | Third Holder |
|------------|--|---------------|--------------|
| Name       |  |               |              |
| Signatures |  |               |              |